

Institution	Primary Role
Board of Directors	Responsible for ensuring fair and effective compliance practices, approving risk and compliance programs, and resolving significant matters related to compliance.
President and CEO	As the person in charge of corporate management, he/she directs the corporate officers and presides over the execution of operations in each department (including operations related to achieving compliance) while directing and managing the execution of operations based on the basic management policies stipulated by the Board of Directors (including operations related to achieving compliance). He/She is also responsible for delivering a message annually to all officers and employees to raise awareness about compliance.
Compliance Committee	Deliberates and approves the following matters, or receives reports about them. • Deliberates and approves to revise, enact, or abolish OJR's policies • Deliberates and approves to contract or revise Consent to Cancellation of an Entrustment Contract for Asset Investment by an Asset Management Company and Entrustment of administrative work related to institutional operations agreement • Deliberates and approves matters that require discussions by Compliance Committee based on the Affiliated Companies Transaction Rules • Deliberates and approves to revise, enact, or abolish Regulation for Asset Management and Property Management for OJR(Management Regulations), the Affiliated Companies Transaction Rules, Interest Rate Derivative Transaction Management Rules, and Compliance Committee Rules • Deliberates and approves other matters that Compliance Officer requires/ other matters related to things written above or receives reports about them.
Compliance Officer	The Compliance Officer supervise these operations related to guidance for compliance to have employees follow laws and regulations, etc. • Compliance in general • Convening and facilitating the Compliance Committee • Dealing with other matters based on the above
Director in charge of Compliance Department	The director presides over the operations of the Compliance Department and performs the following operations. • Offers advice on discontinuing, correcting or modifying the execution of a planned transaction or other duty in the event that a compliance-related issue is discovered, or in the event that the General Manager of the Compliance Department reports that a violation of a law or regulation has been discovered. • Reports to the Board of Directors every six months on the status of compliance based on reports from the General Manager of the Compliance Department.
General Manager of Compliance Department	The General Manager of the Compliance Department takes on the following roles under the jurisdiction of the director in charge of the Compliance Department. • Performing dissemination of basic policies, behavioral practices of officers and employees, and fostering awareness of compliance • Confirming status of compliance with laws and regulations related to the acquisition or disposal of assets under management, entrusted management, leasing, making repairs or refurbishments, etc. • Reporting any violations of laws and regulations related to the acquisition or disposal of assets under management, entrusted management, leasing, making repairs or refurbishments, etc. to the director in charge of the Compliance Department when such a violation is discovered. • Reporting status of compliance with laws and regulations to the Compliance Committee once every six month. • Providing consultation support related to behavioral practices of officers and employees under the supervision of the Board of Directors. • Implementing and making improvements for investigations of the current status of practices related to behavioral practices of officers and employees under the supervision of the Board of Directors. • Planning and conducting compliance training.